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Special Paper on the Possible Contents of  
Annexes and/or Protocols to the Draft Convention  
for the Protection of the Ozone Layer

List of Substances capable of modifying Stratospheric Ozone

DRAFT TEXT SUBMITTED BY THE  
DELEGATION OF  
THE UNITED STATES OF AMERICA

### ANNEX III

#### LIST OF SUBSTANCES CAPABLE OF MODIFYING STRATOSPHERIC OZONE

1. Recognizing that certain chemical substances may be capable of modifying the spatial and temporal distribution and abundance of stratospheric ozone, the Contracting Parties agree to support, as appropriate, individually and collectively, research and monitoring as outlined in Annex I on the substances which are listed below.
2. This annex is a compilation of chemical substances of natural and anthropogenic origin released at the earth's surface or directly in the upper atmosphere which are thought to have the potential to modify the chemical, physical or radiative properties of the earth's atmosphere. This list is primarily devoted to substances presently (1983) being released to the atmosphere in quantities believed sufficient to cause changes in the chemical composition or the physical properties of the atmosphere.
3. [The major scientific issues associated with continued stratospheric pollution revolve around, (a) modification

of the total column content of ozone which would result in a change in the amount of solar UV-B radiation that reaches the earth's surface with potential consequences for human health and ecological organisms and systems, and (b) modification of the vertical distribution of ozone which would change the temperature structure of the stratosphere with potential consequences for weather and climate. In addition there could be direct modification of the thermal structure of the atmosphere due to the addition of gases that absorb infrared radiation, e.g. carbon dioxide,  $\text{CO}_2$ ; methane,  $\text{CH}_4$ ; nitrous oxide  $\text{N}_2\text{O}$ ; chlorofluoromethanes, CFM's; and tropospheric ozone.]

4. The chemical substances are discussed by family, i.e. carbon, nitrogen, chlorine, bromine, etc.

4.1. Carbon Substances.

4.1.1. Carbon Monoxide (CO).

CO has significant natural sources (oxidation of naturally-occurring hydrocarbons and combustion of vegetation biomass) and anthropogenic sources (fossil fuel burning and the oxidation of anthropogenic hydrocarbons). While CO is not believed to play a significant role in stratospheric ozone photochemistry, it is thought to play a major role in tropospheric

photochemistry by controlling the hydroxyl radical (OH) and ozone concentrations. Reaction with CO is believed to be the dominant loss mechanism for OH. The OH radical reacts with and thereby limits the atmospheric residence times of numerous species, e.g. methane,  $\text{CH}_4$ ; methyl chloride,  $\text{CH}_3\text{Cl}$ ; methyl chloroform,  $\text{CH}_3\text{CCl}_3$ ; methyl bromide,  $\text{CH}_3\text{Br}$ ; non-methane hydrocarbons, NMHC; hydrogen sulphide,  $\text{H}_2\text{S}$ ; dimethyl sulphide,  $(\text{CH}_3)_2\text{S}$ ; sulfur dioxide,  $\text{SO}_2$ ; etc. Therefore, any significant increase in the atmospheric concentration of CO, which would reduce the OH radical concentration, could influence stratospheric ozone by increasing the flux of those tropospheric species into the stratosphere.

#### 4.1.2 Carbon Dioxide ( $\text{CO}_2$ )

Carbon dioxide has significant natural and anthropogenic (fossil fuel burning and deforestation) sources.

Atmospheric  $\text{CO}_2$  is currently increasing at a rate of about  $1\text{ppm yr}^{-1}$  ( $3 \times 10^{15}\text{gm yr}^{-1}$ ).  $\text{CO}_2$  does not influence stratospheric ozone through direct chemical reactions, but increasing concentrations of  $\text{CO}_2$  should decrease the temperature of the stratosphere, altering rates for several key reactions, resulting in a change in ozone.

#### 4.1.3. Methane ( $\text{CH}_4$ )

The sources of methane are believed to be both natural (wetlands, animal enteric fermentation, and oceans), and anthropogenic (domestic animal enteric fermentation). These sources need to be quantified in order to understand the global atmospheric budget of  $\text{CH}_4$ . There is strong evidence that the concentration of atmospheric  $\text{CH}_4$  has risen over the past decade (1-2% per yr) and some debatable evidence for an increase dating back to the sixteenth century. However, the cause for the increase is unknown.  $\text{CH}_4$  has a relatively long photochemical lifetime ( $\sim 10$  years, governed by tropospheric OH) and is important to stratospheric photochemistry. It affects stratospheric ozone because it limits the catalytic efficiency of chlorine by the reaction of atomic chlorine with methane and contributes to the  $\text{HO}_x$  radical and water vapor concentrations through its oxidation products.

#### 4.1.4 Non-Methane Hydrocarbon species (NMHC)

NMHC's include alkanes (e.g.  $\text{C}_2\text{H}_6$ ); alkenes (e.g.  $\text{C}_2\text{H}_4$ ); alkynes (e.g.  $\text{C}_2\text{H}_2$ ); aldehydes (e.g.  $\text{CH}_2\text{O}$ ); peroxyacetyl nitrate ( $\text{CH}_3\text{C}(\text{O})\text{OONO}_2$ ); isoprene; and terpenes. Alkanes, alkenes and alkynes have both natural (natural gas) and anthropogenic sources, while isoprene and terpenes are emitted from vegetation. The remaining NMHC atmospheric

species result from the chemical transformations of these emitted species. The photochemical lifetimes of NMHC species are normally short due to their reactivity with the OH radical which limits the flux of these species into the stratosphere. Consequently, NMHC species play a vital role in tropospheric photochemistry but their direct impact on stratospheric processes is relatively minor.

## 4.2 Nitrogen Substances

### 4.2.1 Nitrous Oxide ( $N_2O$ )

The dominant source of  $N_2O$  is natural (nitrification and denitrification) but there may be increasingly important anthropogenic contributions (combustion and agricultural fertilization). The atmospheric concentration is currently increasing at a rate of  $\sim 0.2\%$  per year, but the exact sources are still open to question.  $N_2O$  has no known removal mechanisms in the troposphere. It is the primary source of stratospheric  $NO_x$  (i.e.  $NO + NO_2$ ) which plays a vital role in controlling the abundance of stratospheric ozone (4.2.2). Increased levels of  $N_2O$  are currently believed to decrease the concentration of ozone in the stratosphere.

#### 4.2.2. Nitrogen Oxides ( $\text{NO}_x$ )

$\text{NO}_x$  denotes the nitrogen oxides  $\text{NO}$  and  $\text{NO}_2$ . The magnitudes of the natural (including lightening and soil microbial processes) and anthropogenic (combustion, biomass burning, aircraft exhaust and atmospheric nuclear explosions) sources are poorly defined. Stratospheric  $\text{NO}_x$  is well recognized for its pivotal role in controlling both the distribution and abundance of stratospheric ozone. Ground level sources of tropospheric  $\text{NO}_x$  do not contribute to the stratospheric budget of  $\text{NO}_x$  due to heterogeneous removal of inorganic nitrogen species ( $\text{HNO}_3$ ;  $\text{HNO}_2$ ;  $\text{NO}_2$ ;  $\text{NO}$ ;  $\text{N}_2\text{O}_5$ ;  $\text{HO}_2\text{NO}_2$ ) in the troposphere (i.e. by rain-out processes).

Consequently, there is no direct influence of ground level sources of tropospheric  $\text{NO}_x$  on stratospheric  $\text{O}_3$ . However,  $\text{NO}_x$  plays a vital role in tropospheric photochemical processes which control the concentrations of  $\text{OH}$  and  $\text{O}_3$ . Therefore, ground level sources of tropospheric  $\text{NO}_x$  may have an important indirect influence on stratospheric photochemistry.

Injection of  $\text{NO}_x$  in the upper troposphere and stratosphere by aircraft exhaust or by atmospheric explosion of nuclear weapons can directly lead to a change in stratospheric and upper tropospheric ozone levels.

### 4.3. Chlorine Substances

#### 4.3.1. Fully Halogenated Alkanes (e.g. $\text{CCl}_4$ , $\text{CFCl}_3$ , $\text{CF}_2\text{Cl}_2$ , etc.)

The source of the fully halogenated alkanes is solely anthropogenic. The atmospheric concentrations of carbon tetrachloride,  $\text{CCl}_4$ ; fluorocarbon 11,  $\text{CFCl}_3$ ; and fluorocarbon 12,  $\text{CF}_2\text{Cl}_2$  are such that they are presently the greatest anthropogenic contributors to the stratospheric  $\text{ClO}_x$  concentration, where  $\text{ClO}_x$  denotes  $\text{Cl}$  and  $\text{ClO}$ . The stratospheric  $\text{ClO}_x$  concentration is currently increasing primarily due to continued releases of  $\text{CFCl}_3$  and  $\text{CF}_2\text{Cl}_2$  because of the long atmospheric lifetimes of these species. There are no known tropospheric removal mechanisms for these species. Stratospheric  $\text{ClO}_x$  plays a vital role in ozone photochemistry, especially in the 30-50km altitude region. Increasing levels of stratospheric  $\text{ClO}_x$  are currently believed to decrease the concentration of ozone in the stratosphere.

#### 4.3.2 Partially Halogenated Alkanes (e.g. $\text{CH}_3\text{Cl}$ , $\text{CHF}_2\text{Cl}$ , $\text{CH}_2\text{CCl}_3$ ).

The source of  $\text{CH}_3\text{Cl}$  is not quantitatively well understood but it is thought to be primarily due to biospheric processes arising in the tropical oceans.  $\text{CH}_3\text{Cl}$  has a

relatively short atmospheric lifetime due to reaction with OH in the troposphere. It is presently the single greatest natural contributor to the stratospheric  $\text{ClO}_x$  concentration. Other partially halogenated alkanes (e.g.  $\text{CHF}_2\text{Cl}$  and  $\text{CH}_3\text{CCl}_3$ ) are anthropogenic in origin. The flux of these gases to the stratosphere is determined by their source strengths and their atmospheric lifetimes which are largely controlled by reaction with tropospheric OH.

4.3.3 Halogenated Alkenes (e.g.  $\text{C}_2\text{HCl}_3$ ,  $\text{C}_2\text{Cl}_4$ )

These gases are anthropogenic in origin but their flux to the stratosphere is relatively unimportant due to their very short tropospheric lifetimes. Again like the partially halogenated alkanes their lifetimes are controlled by reaction with tropospheric OH.

4.3.4. Hydrogen Chloride (HCl)

HCl has both anthropogenic and natural (release from sea salt) sources. However, tropospheric sources of HCl do not significantly contribute to stratospheric  $\text{ClO}_x$  due to rain-out in the troposphere.

#### 4.4. Bromine Substances

##### 4.4.1. Fully Halogenated Alkanes (e.g. $\text{CF}_3\text{Br}$ )

The source of these gases is anthropogenic, there are no known tropospheric removal mechanisms for these species.

$\text{BrO}_x$  is currently believed to be at least as efficient as  $\text{ClO}_x$ , on a molecular basis, in controlling the concentration of stratospheric ozone.

However, the source strengths are thought to be much smaller (several orders of magnitude lower) than their chlorine analogs.

##### 4.4.2. Partially Halogenated Alkanes (e.g. $\text{CH}_3\text{Br}$ )

The only substance in this class observed in the atmosphere is  $\text{CH}_3\text{Br}$  which is natural in origin. The source strength is unknown. The flux of  $\text{CH}_3\text{Br}$ , or any substance in this class, to the stratosphere is limited by its reaction with OH in the troposphere.