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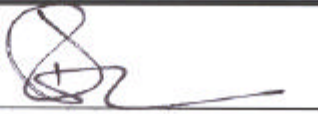
THIRTEENTH MEETING OF THE PARTIES TO
THE MONTREAL PROTOCOL ON
SUBSTANCES THAT DEplete
THE OZONE LAYER
Colombo, 16-19 October 2001
Item 5 of the provisional agenda*


FINANCIAL REPORT ON THE TRUST FUNDS FOR THE VIENNA CONVENTION FOR THE
PROTECTION OF THE OZONE LAYER AND THE MONTREAL PROTOCOL ON
SUBSTANCES THAT DEplete THE OZONE LAYER FOR THE FIRST YEAR
OF THE BIENNIUM 2000-2001 AND EXPENDITURES FOR 2000
AS COMPARED TO THE APPROVED BUDGETS

Report of the secretariat

1. The secretariat is circulating herewith the audited and certified financial report on the Trust Funds for the Vienna Convention for the Protection of the Ozone Layer and the Montreal Protocol on Substances that Deplete the Ozone Layer for 2000.
2. The statements of actual expenditures for 2000, as compared to the approved budgets for that year, are annexed to the present financial report.
3. The activities of the secretariat are financed by the Trust Fund for the Montreal Protocol, the Trust Fund for the Vienna Convention and additional voluntary contributions from specific Governments. The total cost under the Trust Funds for the Montreal Protocol and the Vienna Convention in 2000 were \$2,403,143 and \$177,852 respectively. In addition, the following additional voluntary contributions were received: European Commission - \$37,587 and Finland - \$4,816 for the Co-chair of the Technology and Economic Assessment Panel.
4. In the present report, all references to dollars (\$) are to United States dollars.

* UNEP/OzL.Pro.13/1.
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TRUST FUND FOR THE VIENNA CONVENTION FOR THE PROTECTION OF THE OZONE LAYER		
I. STATEMENT OF INCOME AND EXPENDITURE AND CHANGES IN RESERVE AND FUND BALANCE FOR THE FIRST YEAR OF THE 2000-2001 BIENNIUM ENDED 31 DECEMBER 2000		
	INCOME	USD
	Voluntary contributions	315,900
	Interest income	78,461
	Gain on exchange	9
	TOTAL INCOME	394,370
	EXPENDITURE	
	Staff and other personnel costs	143,840
	Travel	19,996
	Meetings and conferences	(76,859)
	Rentals	15,275
	Operating expenses	9,671
	Acquisitions	24,354
	Reporting costs	10,319
	Sundry	31,257
	Bank charges	119
	Programme support costs	23,121
	TOTAL EXPENDITURE	201,093
	Excess of income over expenditure	193,277
	Prior period adjustments	(820)
	NET EXCESS OF INCOME OVER EXPENDITURE	192,457
	RESERVE AND FUND BALANCE, BEGINNING OF PERIOD	2,395,252
	RESERVE AND FUND BALANCE, END OF PERIOD	2,587,709
II. STATEMENT OF ASSETS, LIABILITIES, RESERVE AND FUND BALANCE AS AT 31 DECEMBER 2000		
	ASSETS	
	Cash and term deposits	2,362,457
	Voluntary contributions receivable	830,325
	Other accounts receivable	75,839
	TOTAL ASSETS	3,268,621
	LIABILITIES	
	Contributions received in advance	295,590
	Unliquidated obligations	141,455
	Inter-fund balances payable	173,570
	Other accounts payable	70,297
	TOTAL LIABILITIES	680,912
	RESERVE AND FUND BALANCE	
	Cumulative surplus	2,587,709
	TOTAL RESERVE AND FUND BALANCE	2,587,709
	TOTAL LIABILITIES, RESERVE AND FUND BALANCE	3,268,621
		
	David Hastie Officer-in-charge ACCOUNTS SECTION BUDGET AND FINANCIAL MANAGEMENT SERVICE	
VC		15/06/2001

TRUST FUND FOR THE MONTREAL PROTOCOL ON SUBSTANCES THAT DEplete THE OZONE LAYER	
I. STATEMENT OF INCOME AND EXPENDITURE AND CHANGES IN RESERVE AND FUND BALANCE FOR THE FOR THE FIRST YEAR OF THE 2000-2001 BIENNIUM ENDED 31 DECEMBER 2000	
	USD
INCOME	
Voluntary contributions	3,679,679
Interest income	542,330
Miscellaneous income	240
Gain on exchange	33
TOTAL INCOME	4,222,282
EXPENDITURE	
Staff and other personnel costs	1,258,631
Consultants	47,287
Travel	127,364
Contractual services	(5,481)
Meetings and conferences	717,039
Rentals	15,994
Operating expenses	24,302
Acquisitions	13,757
Reporting costs	95,456
Sundry	104,122
Hospitality	4,673
Bank charges	258
Programme support costs	312,409
TOTAL EXPENDITURE	2,715,811
Excess of income over expenditure	1,506,471
Prior period adjustments	3,872
NET EXCESS OF INCOME OVER EXPENDITURE	1,510,343
RESERVE AND FUND BALANCE, BEGINNING OF PERIOD	8,871,281
RESERVE AND FUND BALANCE, END OF PERIOD	10,381,624
II. STATEMENT OF ASSETS, LIABILITIES, RESERVE AND FUND BALANCE AS AT 31 DECEMBER 2000	
ASSETS	
Cash and term deposits	9,042,174
Voluntary contributions receivable	6,691,669
Other accounts receivable	664,604
Provision for doubtful debts	(10)
Other assets- deferred charges	14,931
Operating funds provided to implementing agencies	460,107
TOTAL ASSETS	16,873,475
LIABILITIES	
Contributions received in advance	3,004,679
Unliquidated obligations	2,296,816
Inter-fund balances payable	623,123
Other accounts payable	567,233
TOTAL LIABILITIES	6,491,851
RESERVE AND FUND BALANCE	
Cumulative surplus	10,381,624
TOTAL RESERVE AND FUND BALANCE	10,381,624
TOTAL LIABILITIES, RESERVE AND FUND BALANCE	16,873,475
 David Hastie Officer-in-charge ACCOUNTS SECTION BUDGET AND FINANCIAL MANAGEMENT SERVICE	
MP	06/22/2001

Annex

EXPENDITURES FOR 2000 AS COMPARED TO THE APPROVED BUDGET OF THE TRUST FUNDS FOR THE MONTREAL PROTOCOL AND THE VIENNA CONVENTION

w/m	Montreal Protocol Approved 2000 budget (US\$)	Actual 2000 expenditures (US\$)	w/m	Vienna Convention Approved 2000 budget (US\$)	Actual 2000 expenditures (US\$)
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10 PROJECT PERSONNEL COMPONENT

1100 Project personnel

1101	Executive Secretary (D-2) (shared with the Vienna Convention, VC)	6	102,270	102,270	6	73,229	72,160
1102	Deputy Executive Secretary (D-1)	12	139,290	122,633	12	0	0
1103	Senior Legal Officer (P-5)	12	103,030	96,149	12	0	0
1104	Senior Scientific Affairs Officer (P-5) (shared with VC)	6	88,730	88,730	6	71,075	58,939
1105	Administrative Officer (P-4) (paid by UNEP)		0	0		0	0
1106	Programme Officer (Data Systems) (P-3)		0	0		0	0
1198	Prior year's adjustments			0		0	(297)
1199	<i>Total</i>		433,320	409,782		144,304	130,802

1300 Administrative Support

1301	Administrative Assistant (G-7)	6	10,230	7,577	6	10,230	0
1302	Personal Assistant (G-6)	12	17,230	17,474	12	0	0
1303	Programme Assistant (G-6) (paid by VC)		0	0		18,307	13,383
1304	Personal Assistant (G-6)	6	9,153	9,153	6	9,154	4,207
1305	Programme Assistant (G-6)	6	9,153	7,293	6	9,154	5,340
1306	Documents Clerk (G-3)	12	8,610	7,934	12	0	0
1307	Data Assistant (G-7)		18,296	15,909		0	0
1308	Programme Assistant (G-6) (paid by UNEP)		0	0		0	0
1309	Logistics Assistant (G-3) (paid by UNEP)		0	0		0	0
1320	Temporary Assistance		6,458	6,410		0	0
1321	Conference-servicing costs (CSC) - Open-ended Working Group Meetings		420,250	351,160		0	0

	w/m	Montreal Protocol Approved 2000 budget (US\$)	Actual 2000 expenditures (US\$)	w/m	Vienna Convention Approved 2000 budget (US\$)	Actual 2000 expenditures (US\$)
1322						
		409,905	412,249		0	0
1323		73,226	71,640		0	0
1324		44,147	43,901		0	0
1325		29,069	33,469		0	0
1326		11,839	6,500		0	0
1327		0	0		0	0
1328		0	0		7,500	9,498
1398		0	(141,820)		0	
1399		1,067,566	848,849		54,345	(19,390)
		1,500,886	1,258,631		198,649	143,840
<i>1200</i>	<i>Consultants</i>					
1201		51,210	50,498		0	0
1298		0	(3,211)		0	0
1299		51,210	47,287		0	0
		51,210	47,287		0	0
<i>1600</i>	<i>Travel on Official Business</i>					
1601		109,839	107,096		26,153	20,240
1602		21,535	20,268		0	0
1698		0	0		0	(244)
1699		131,374	127,364		26,153	19,996
		131,374	127,364		26,153	19,996

w/m	Montreal Protocol Approved 2000 budget (US\$)	Actual 2000 expenditures (US\$)	w/m	Vienna Convention Approved 2000 budget (US\$)	Actual 2000 expenditures (US\$)
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20 SUBCONTRACTS COMPONENT

2200 *Supporting Organizations*

2298	Prior years' adjustments	0			0	0
2299	<i>Total</i>	0	(5,481)		0	0
	<i>Contractual services total</i>	0	-5,481		0	0

30 MEETING/PARTICIPATION COMPONENT

3300 *Participation of Developing Countries*

3301	Assessment Panel Meetings	565,770	318,888		0	0
3302	Preparatory and Parties Meetings	263,560	189,868		0	0
3303	Open-ended Working Group Meetings	263,310	182,783		0	0
3304	Bureau Meetings	32,298	32,298		0	0
3305	Committee Meetings	64,605	49,139		0	0
3306	Meetings for the promotion of the Protocol among non-Parties	21,013	15,169		0	0
3307	Ozone Research Managers Meeting	0	0		0	0
3398	Prior year's adjustments	0	(71,105)		0	-76,859
3399	<i>Total</i>	1,210,556	717,039		0	-76,859
	<i>Meetings and conferences total</i>	1,210,556	717,039		0	-76,859

40 EQUIPMENT AND PREMISES COMPONENT

4300 *Premises*

4301	Rental of office premises (shared with VC)	32,297	15,994	15,275		15,275
4399	<i>Total</i>	32,297	15,994	15,275		15,275
	<i>Rentals total</i>	32,297	15,994	15,275		15,275

	w/m	Montreal Protocol Approved 2000 budget (US\$)	Actual 2000 expenditures (US\$)	w/m	Vienna Convention Approved 2000 budget (US\$)	Actual 2000 expenditures (US\$)
<i>4100 Expendable Equipment (items under \$1,500)</i>						
4101		21,669	21,259		10,461	10,461
4198		0	(7,465)		0	(2,105)
4199		<i>21,669</i>	<i>13,794</i>		<i>10,461</i>	<i>8,356</i>
<i>5100 Operation and Maintenance of Equipment</i>						
5101		12,915	12,676		5,253	4,927
5198		0	(2,168)		0	(3,612)
5199		<i>12,915</i>	<i>10,508</i>		<i>5,253</i>	<i>1,315</i>
		<i>34,584</i>	<i>24,302</i>		<i>15,714</i>	<i>9,671</i>
<i>4200 Non-Expendable Equipment (Acquisitions)</i>						
4201		5,381	4,691		3,152	3,152
4202		3,229	3,229		4,843	4,843
4203		6,068	6,067		6,461	6,460
4204		0	0		10,000	10,000
4298		0	(230)		0	(101)
4299		<i>14,678</i>	<i>13,757</i>		<i>24,456</i>	<i>24,354</i>
		<i>14,678</i>	<i>13,757</i>		<i>24,456</i>	<i>24,354</i>
50 MISCELLANEOUS COMPONENT						
<i>5200 Reporting Costs</i>						
5201		64,606	64,606		10,923	10,319
5202		32,298	30,850		0	0
5298		0	0		0	0
5299		<i>96,904</i>	<i>95,456</i>		<i>10,923</i>	<i>10,319</i>
		<i>96,904</i>	<i>95,456</i>		<i>10,923</i>	<i>10,319</i>

	w/m	Montreal Protocol Approved 2000 budget (US\$)	Actual 2000 expenditures (US\$)	w/m	Vienna Convention Approved 2000 budget (US\$)	Actual 2000 expenditures (US\$)
<i>5300 Sundry</i>						
5301		80,760	30,497		20,230	20,230
5302		68,101	67,577		10,825	10,825
5303		10,506	10,505		0	0
5304		5,115	388		5,731	202
5398		0	(4,845)		0	0
5399		<i>164,482</i>	<i>104,122</i>		<i>36,786</i>	<i>31,257</i>
		<i>164,482</i>	<i>104,122</i>		<i>36,786</i>	<i>31,257</i>
<i>5400 Hospitality</i>						
5401		19,383	10,374		0	0
5498		0	(5,701)		0	0
5499		<i>19,383</i>	<i>4,673</i>		<i>0</i>	<i>0</i>
		<i>19,383</i>	<i>4,673</i>		<i>0</i>	<i>0</i>
98		TOTAL DIRECT PROJECT COST	3,256,354		327,956	177,852
		Bank charges	0		0	119
		Programme support costs (13%)	423,326		42,634	23,121
99		GRAND TOTAL (inclusive of programme support costs)	3,679,680		370,590	201,093
