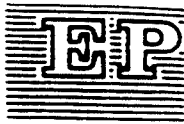




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FOURTH MEETING OF THE PARTIES TO THE  
MONTREAL PROTOCOL ON SUBSTANCES  
THAT DEplete THE OZONE LAYER

Copenhagen, 23-25 November 1992

**REPORT BY THE CHAIRMAN OF THE EXECUTIVE COMMITTEE OF THE  
INTERIM MULTILATERAL FUND FOR THE  
IMPLEMENTATION OF THE MONTREAL PROTOCOL**

## I. INTRODUCTION

1. In accordance with the terms of reference of the Executive Committee of the Interim Multilateral Fund for the Implementation of the Montreal Protocol, the Committee shall report annually to the Meeting of the Parties (Appendix II to Annex IV to the report of the Second Meeting of the Parties contained in document UNEP/OzL.Pro/2/3).
2. The Executive Committee held four meetings during the period under review. The Fifth, Sixth, Seventh and Eighth Meetings were held in Montreal from 18 to 22 November 1991, 27 to 28 February 1992, 23 to 26 June 1992 and 19 to 21 October 1992 respectively. The reports of those Meetings are contained in documents UNEP/OzL.Pro/ExCom/5/16, UNEP/OzL.Pro/ExCom/6/12, UNEP/OzL.Pro/ExCom/7/30 and UNEP/OzL.Pro/ExCom/8/29 respectively.
3. Meetings of the Executive Committee were attended by Canada, Finland, Germany, Japan, Netherlands, Russian Federation and the United States of America representing Parties not operating under paragraph 1 of Article 5, and by Egypt, Ghana, Jordan, Malaysia, Mexico, Sri Lanka and Venezuela representing Parties operating under paragraph 1 of Article 5. The Meetings were chaired by Mr. J.A. Mateos (Mexico) and Ms. E. Claussen (United States of America) acted as Vice-Chairperson. Mr. O. El-Arini, Chief Officer, acted as Secretary.
4. The President of the Bureau of the Meeting of the Parties to the Montreal Protocol attended the Fifth, Sixth and Seventh Meetings.
5. In accordance with the decision taken by the Executive Committee at its Second Meeting, representatives of the implementing agencies attended the meetings as observers.
6. A representative of the Inter-American Development Bank attended the Fifth Meeting.
7. Representatives of Friends of the Earth and Greenpeace also attended meetings.
8. The Executive Committee took note of a letter addressed to the Chairman by the Consul General of the Russian Federation in Montreal which transmitted a note of the Ministry of Foreign Affairs of the Russian Federation regarding the representation of the Russian Federation on the Executive Committee. The Committee decided to transmit the communication (Annex I) to the Meeting of the Parties and agreed that the Russian Federation should provisionally participate as a member of the Executive Committee while awaiting the decision of the Parties.

## II. POLICY MATTERS

9. Following the Fifth Meeting, an agreement was signed between the United Nations Environment Programme as the Treasurer of the Interim Multilateral Fund and the Executive Committee (UNEP/OzL.Pro/ExCom/5/4/Rev.2).

10. At its Eighth Meeting, an agreement was signed between UNIDO and the Executive Committee (UNEP/OzL.Pro/ExCom/8/29, Annex IV).

11. At its Fifth Meeting, the Executive Committee adopted the guidelines prepared by the Fund Secretariat for the presentation of country programmes (UNEP/OzL.Pro/ExCom/5/16, Annex III). These guidelines were distributed to all countries operating under paragraph 1 of Article 5, and to the implementing agencies.

12. The Executive Committee also adopted guidelines for bilateral and regional contributions (UNEP/OzL.Pro/ExCom/5/16, Annex IV).

13. At its Seventh Meeting, the Executive Committee adopted a number of policy decisions on the basis of documents prepared by the Fund Secretariat. These decisions which are annexed to the report of the meeting (UNEP/OzL.Pro/ExCom/7/30) relate to:

- (i) Guidelines for presentation of projects and criteria for project approval;
- (ii) Guidelines for cost assessment of bilateral and regional activities;
- (iii) Whether support from the Fund could be given retroactively. The Executive Committee decided that the resources of the Fund may be extended to cover agreed incremental costs of projects that had not received prior approval by the Executive Committee provided that: (a) they were incurred by an Article 5, paragraph 1 country subsequent to the date on which it became a Party to the Montreal Protocol, (b) they meet the eligibility criteria.
- (iv) Whether the Fund should finance activities related to enterprises that are wholly or partly owned by transnational corporations. The Executive Committee decided that the Fund should not finance phase-out activities relating to enterprises that were wholly owned subsidiaries of transnational corporations or enterprises permitted to operate in "free zones" and whose output was for export only. **Partial funding should be considered on a case-by-case basis to finance local share ownership of any given enterprise with the transnational corporation responsible for the rest.** In such a case, funding could be provided as a percentage of project incremental costs proportionate to the local share ownership of the enterprise with the transnational corporation responsible for the rest. Subject to the approval of the Executive Committee, the same criteria should apply to bilateral assistance for activities involving subsidiaries of transnational corporations.
- (v) Whether the Fund should finance activities related to enterprises that are wholly or partly owned by countries that are not Parties to the Montreal Protocol. The Executive Committee decided that funding should not be made available to finance activities related to enterprises that were wholly owned by countries that were not Parties to the Montreal Protocol. With regard to enterprises that were partly owned by non-Parties, partial funding could be considered on a case-by-case basis.

to finance the local share ownership of any given enterprise owned by a non-Party. In such a case, funding could be provided as a percentage of project incremental costs proportionate to the local share ownership of the enterprise with the non-Party responsible for the rest. Subject to the approval of the Executive Committee, the same criteria should apply to bilateral assistance for activities involving non-Parties.

14. The Executive Committee at its Seventh Meeting also considered and took note of documents prepared separately by the Secretariat and the World Bank on incremental costs (UNEP/OzL.Pro/ExCom/7/21 and Corr.1 and UNEP/OzL.Pro/ExCom/7/Inf.4). The Executive Committee decided to consider the subject at a later stage. For the time being, it would follow the indicative list adopted at the Second Meeting of the Parties (UNEP/OzL.Pro.2/3 Annex IV, Appendix I). In cases where the indicative list proved not to provide sufficient guidance, it would consult the two documents.

15. Pursuant to a request by the seventh meeting of the Open-ended Working Group of the Parties to the Montreal Protocol at its Eighth Meeting, the Executive Committee recommended the following:

1. At least for the present, the existing Indicative List of Categories of Incremental Costs as included in Appendix 1 of Annex IV of Decision II/8 of the Second Meeting of the Parties should be left unchanged.
2. The Executive Committee, as mandated by the Parties, could consider proposals regarding research and development on substitutes, and equipment production facilities for recycling and destruction on a case-by-case basis provided that the costs incurred were of an incremental nature.

16. With regard to institutional strengthening, the Executive Committee decided that limited funding or assistance should be provided by the Fund for such activity since it might be an essential element in achieving the objectives of the Montreal Protocol. It adopted the following recommendations (UNEP/OzL.Pro/ExCom/7/30, paragraph 74, subparagraphs 1-4) based on the document prepared by the Secretariat (UNEP/OzL.Pro/ExCom/7/20):

- (i) Article 5 countries who request it be considered for support for institutional strengthening and that such considerations be made on their individual merits (case-by-case basis). In considering the requests on a case-by-case basis, the peculiar circumstances influencing ODS phase-out in the country should be considered together with the recommended funding level;
- (ii) The main objective of such support should be to provide necessary resources to an eligible country to enable it strengthen a mechanism within the country to facilitate expeditious implementation of projects for speedy and effective phase-out of the controlled substances in the country, as well as ensuring effective liaison

between the country on the one hand, and the Executive Committee, the Fund Secretariat, and the implementing agencies on the other;

- (iii) Requests for institutional strengthening be considered as special projects subject to approval by the Executive Committee on the basis of a written request submitted by the interested Party. However, in order to avoid any possible delays in providing support to requesting countries, the implementing agencies may review and implement such requests within their work programmes, except where the funding requested exceeds US \$500,000, and report to the Executive Committee through the Fund Secretariat as and when such requests are approved for implementation;
- (iv) Requests for institutional strengthening be included in the country programme of the Party requesting such assistance. However, the requests for institutional support may be submitted separately as a free-standing project ahead of the country programme where circumstances demand.

It further recommended that institutional strengthening be dealt with on a case-by-case basis and with the necessary flexibility.

17. At its Eighth Meeting, the Executive Committee requested the World Bank to prepare a document on funding of institutional strengthening in the light of the experience it had gained.

18. At its Seventh Meeting, the Executive Committee also discussed the need to expedite the process of disbursement of funds by the implementing agencies to Article 5, paragraph 1. Parties following its approval of investment projects over US \$500,000. It decided to establish a subcommittee to evaluate in greater detail the status of a representative sample of approved projects with a view to identifying barriers to speedy project implementation. The subcommittee reported back to the Eighth Meeting and made a number of recommendations to speed up project implementation (UNEP/OzL.Pro/ExCom/8/29, Annex III).

19. Pursuant to a request by the seventh meeting of the Open-ended Working Group of the Parties to the Montreal Protocol, the Fund Secretariat prepared a document on the needs of Parties operating under Article 5, paragraph 1, for controlled substances during the grace and phase-out periods. The document was submitted to the Eighth Meeting of the Executive Committee (UNEP/OzL.Pro/ExCom/8/25 and Add.1).

20. At the request of UNEP, the Executive Committee discussed its terms of reference and those of the Interim Multilateral Fund and recommended that no substantive changes should be made and that they should apply mutatis mutandis to the financial mechanism.

### III. COUNTRY PROGRAMMES

21. During the period under review, the Executive Committee disbursed US \$3,151,675 to UNDP, UNEP and the World Bank for the preparation of country programmes in 39 out of a total of 46 Article 5, paragraph 1 countries (as of August 1992) and approved the country programmes of Chile (UNEP/OzL.Pro/ExCom/7/8, Add.1 and Corr.1), Costa Rica (UNEP/OzL.Pro/ExCom/8/28 and Corr.1), Ecuador (UNEP/OzL.Pro/ExCom/6/6/Rev.1), Egypt (UNEP/OzL.Pro/ExCom/8/14, Add.1 and Corr.1), Ghana (UNEP/OzL.Pro/ExCom/8/15 and Add.1), Malaysia (UNEP/OzL.Pro/ExCom/6/4), Mexico (UNEP/OzL.Pro/ExCom/6/5), Turkey (UNEP/OzL.Pro/ExCom/8/17) and Zambia (UNEP/OzL.Pro/ExCom/8/18). Consumption of controlled substances covered by the approved country programmes amounts to 21,000 metric tonnes.

### IV. PROJECTS

22. Annex II shows the list of projects approved to-date by the Executive Committee by country, implementing agency, funds allocated, and ODSs to be phased out. The 60 projects approved are expected to result in the elimination of the consumption of 31,673 metric tonnes of controlled substances per year. Funds have been allocated in all user sectors with the expected ODS phase-out amounts as follows:

Sector	Funds Allocated Amount (million US\$)	Percent of Total	ODS to be Phased Out Amount (metric tonnes)	Percent of Total
Aerosol	8.7	21.9	13,710	43.3
Foam	7.1	17.8	4,032	12.7
Halons	1.9	4.9	7,860	24.8
Refrigeration	13.4	33.8	5,208	16.5
Solvents	1.1	2.7	165	0.5
Several Sectors	7.5	18.9	698	2.2
Total	39.7	100.0	31,673	100.0

23. At its Fifth Meeting, the Executive Committee decided that project proposals, where the agreed incremental costs exceeded \$500,000, submitted directly by Article 5 countries to the Executive Committee should be evaluated by the Fund Secretariat which would prepare

recommendations for action by the Executive Committee. Countries were encouraged to consult with the Fund Secretariat during the course of any such project's preparation in order to provide comprehensive and consistent documentation.

## V. FINANCIAL MATTERS

24. The status of contributions and disbursements to-date is shown in Annex III. The status of contributions<sup>1</sup> stood at US \$75,063,177. This was made up of contributions for 1991 of US \$39,354,111 (against a total pledge of US \$53,320,777) and US \$35,709,066 for 1992 (against a total pledge of US \$73,339,613). Disbursements amounted to US \$63,740,861. The Executive Committee noted with regret that many countries had not fulfilled their pledges and it urged them to do so without delay.

25. In the light of ratification of the Montreal Protocol by more countries, the Fourth Meeting of the Parties will be called upon to revise the amount of contributions accordingly.

26. At its Seventh Meeting, the Executive Committee discussed the magnitude of the Fund required for the period 1994 to 1996 and transmitted the relevant Fund Secretariat document to the Open-ended Working Group of the Parties. The Fund Secretariat estimated that the requirements for the Fund for the next three-year period would amount to approximately US \$480 to \$620 million (UNEP/OzL.Pro/ExCom/7.30, Annex VIII).

27. At its Eighth Meeting, the Executive Committee approved:

- (a) the proposed budget for Fund Operations for 1993 (Annex IV);
- (b) the revised budget for the Fund Secretariat for 1993 (Annex V).

28. In accordance with the guidelines for bilateral and regional contributions adopted at the Fifth Meeting, the Executive Committee approved the following requests to credit bilateral assistance against contributions to the Fund, amounting to a total of US \$2,211,192.48:

Australia	US \$ 39,394 (against 1992 contribution) US \$ 4,420 (against 1993 contribution)
Germany	US \$ 18,378.48 (against 1992 contribution)
United States of America	US \$ 480,000 (against 1991 contribution) US \$1,669,000 (against 1992 contribution)

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<sup>1</sup>These amounts include bilateral assistance credited to the contributions of Australia, Germany, and the United States of America (see paragraph 28).

29. Other countries not operating under Article 5, paragraph 1, expressed their intention to seek approval to credit bilateral and regional assistance against their contributions up to the approved ceiling of 20 per cent.

30. At its Fifth Meeting, the Executive Committee decided that the costs of travel and daily subsistence allowance for the attendance of the President of the Bureau of the Meeting of the Parties to the Montreal Protocol or his representative as observer at the meetings in 1992 of the Committee, if he was a national of a developing country operating under Article 5, paragraph 1, should be paid from the Fund.

## VI. IMPLEMENTING AGENCIES

31. The Executive Committee decided that, in order to ensure full coordination among the implementing agencies, their work programmes should be integrated into one consolidated document with the support of the Fund Secretariat, starting with the 1992 work programmes. The Executive Committee also decided that the implementing agencies should adopt a sector-based approach when developing their work programmes. Work programmes include activities pertaining to country programme preparation, project preparation, projects costing less than US \$500,000, institutional strengthening and technical assistance, etc., as well as the implementing agencies' administrative budgets. The total budgets approved for the implementing agencies' work programmes to-date (excluding projects costing less than \$500,000) are as follows:

United Nations Development Programme	US \$5,978,595
United Nations Environment Programme	US \$3,597,920
World Bank	US \$7,684,000

32. The work programmes of the implementing agencies were approved by the Executive Committee at its Sixth Meeting. At the Seventh and Eighth Meetings, the Executive Committee approved amendments to the work programmes of UNDP and the World Bank.

## VII. TECHNICAL ASSISTANCE AND TRAINING

33. During the period under review, the Executive Committee approved demonstration projects, feasibility studies and training activities in 24 countries to be implemented by UNDP. The major efforts of these activities were directed toward preinvestment and training projects in the aerosol, refrigeration, foam, solvent and halon sectors.

34. The Executive Committee also approved activities covering information exchange through the computerized clearing-house system, the OzonAction newsletter, training manuals, technical brochures and other publications and training through regional workshops to be implemented by UNEP.



## VIII. INFORMATION

35. The Executive Committee decided that its decisions and reports should be transmitted to countries operating under paragraph 1 of Article 5 which were not members of the Committee, together with all other additional information, and that those countries should be encouraged to prepare country programmes. The Committee further decided that UNEP's Industry and Environment Programme Activity Centre in Paris constituted a suitable vehicle for this purpose.

36. The Industry and Environment Programme Activity Centre prepared a users' manual on access to the OzonAction Information Clearing-House (OAIC) for submission to the Fourth Meeting of the Parties. The Executive Committee was also informed that future training activities would include a programme on the use of the computerized OAIC system.

## IX. FUND SECRETARIAT

37. During the period under review, the Fund Secretariat carried out the following tasks inter alia:

- prepared all the policy papers considered by the Executive Committee;
- evaluated country programmes and projects and prepared recommendations thereon for action by the Executive Committee;
- prepared all the documentation and made the necessary arrangements for meetings of the Executive Committee and other meetings;
- attended meetings of the Open-ended Working Group of the Parties and other meetings;
- participated in seminars and workshops;
- maintained liaison with countries operating under Article 5, paragraph 1, the implementing agencies and the Executive Committee.

**ANNEX I**

**NOTE FROM THE CONSUL GENERAL OF THE RUSSIAN FEDERATION  
TO THE CHAIRMAN OF THE EXECUTIVE COMMITTEE  
FOR THE SIXTH MEETING**


Генеральное консульство  
Российской Федерации

3655, Авеню дю Мюзе,  
Монреаль, Канада

Ваше Превосходительство,

Настоящим имею честь направить Вам ноту  
Министерства иностранных дел Российской Федерации  
с сообщением о продолжении Российской Федерацией  
членства СССР в Исполнительном комитете Временного  
многостороннего фонда по осуществлению Монреальского  
протокола и о полномочиях представлять Российскую  
Федерацию в органах этого комитета лицам, имеющим  
в нем в настоящее время полномочия представителей  
СССР.

Искренне Ваш,



В. КУЧУКОВ

27 декабря 1991 года

Его Превосходительству  
Хуану Антонио Матеосу  
Председателю Исполнительного  
Комитета Временного  
многостороннего фонда по  
осуществлению Монреальского  
протокола

Монреаль, Канада

Министерство иностранных дел Российской Федерации свидетельствует свое уважение Председателю Исполнительного комитета Временного многостороннего фонда по осуществлению Монреальского протокола и имеет честь сообщить, что членство Союза Советских Социалистических Республик во Временном многостороннем фонде по осуществлению Монреальского протокола, во всех его органах, а также во всех заключенных в его рамках или под его эгидой конвенциях, соглашениях и других международно-правовых документах продолжается Российской Федерацией (РФ) и что в этой связи в Исполнительном комитете Временного многостороннего фонда по осуществлению Монреальского протокола вместо названия "Союз Советских Социалистических Республик" должно использоваться наименование "Российская Федерация".

Российская Федерация в полной мере сохраняет ответственность за все права и обязанности СССР в Исполнительном комитете Временного многостороннего фонда по осуществлению Монреальского протокола, включая финансовые обязательства.

Настоящая нота является свидетельством полномочий представлять Российскую Федерацию в Исполнительном комитете Временного многостороннего фонда по осуществлению Монреальского протокола всем лицам, имеющим в настоящее время полномочия представителей СССР в Исполнительном комитете Временного многостороннего фонда по осуществлению Монреальского протокола.

Министерство иностранных дел Российской Федерации пользуется случаем, чтобы заверить Председателя Исполнительного комитета Временного многостороннего фонда по осуществлению Монреальского протокола в своем весьма высоком уважении.

Москва, 26 декабря 1991 года

Председателю  
Исполнительного комитета  
Временного многостороннего  
фонда по осуществлению  
Монреальского протокола


Consulate General  
of the Russian Federation

3655, Museum avenue  
Montreal, P.Q. H3G 2E1

Your Excellency,

I have the honour to transmit to you herewith the note of the Ministry of Foreign Affairs of the Russian Federation containing the information to the effect that the membership of the Executive Committee of the Interim Multilateral Fund for the Implementation of the Montreal Protocol is continued by the Russian Federation and that the persons currently empowered as representatives of the Union of Soviet Socialist Republics in the Interim Multilateral Fund for the Implementation of the Montreal Protocol have the power to represent the Russian Federation in the organs of the said Fund.

Sincerely,



E. Kotchetkov

December 27, 1991

H.E. Mr. Juan Antonio Mateos  
Chairman  
Executive Committee  
Interim Multilateral Fund  
for the Implementation  
of the Montreal Protocol

The Ministry of Foreign Affairs of the Russian Federation presents its compliments to the Chairman of the Executive Committee of the Interim Multilateral Fund for the Implementation of the Montreal Protocol and has the honour to inform that the membership of the Union of Soviet Socialist Republics in the Interim Multilateral Fund for the Implementation of the Montreal Protocol and all its organs as well as in all conventions, agreements and other international legal instruments concluded by or under the auspices of the Interim Multilateral Fund for the Implementation of the Montreal Protocol henceforth is continued by the Russian Federation (RF) and therefore in Executive Committee of the Interim Multilateral Fund for the Implementation of the Montreal Protocol the title "the Russian Federation" shall be used in lieu of the title "the Union of Soviet Socialist Republics".

The Russian Federation maintains the full measure of responsibility for all the rights and obligations assumed by the Union of Soviet Socialist Republics in Executive Committee of the Interim Multilateral Fund for the Implementation of the Montreal Protocol, including the financial obligations.

The present note constitutes the power of all persons currently empowered as representatives of the Union of Soviet Socialist Republics in the Interim Multilateral Fund for the Implementation of the Montreal Protocol to represent the Russian Federation in the organs of the said Fund.

The Ministry of Foreign Affairs of the Russian Federation avails itself of this opportunity to renew to the Chairman of the Executive Committee of the Interim Multilateral Fund for the Implementation of the Montreal Protocol the assurances of its highest consideration.

Moscow, December 26, 1991

Chairman  
Executive Committee  
of the Interim Multilateral  
Fund for the Implementation  
of the Montreal Protocol

## PROJECTS APPROVED TO-DATE

Country	Project	Implementing agency	Funds allocated (US\$)	Est. ODS to be phased-out
BRAZIL	ODS phaseout projects in Brazil			
	- Assembly line retrofitting for HFC-134a: research: central chilling	BRD	400,000	
	- Assembly line retrofitting for HFC-134a compressor production	BRD	500,000	
	- CFC-12 collection, recycling, conservation for home refrigerators	BRD	2,000,000	
	Subtotal:		2,930,000	
CHILE	Demonstration programme for technician service in refrigeration	UNDP	25,000	
	Implementation of the country programme	BRD	1,206,000	
	Subtotal:		1,231,000	
CHINA	Tianjin CFC conversion to LPG	IBRD	2,500,200	1,000
	Shanghai CFC conversion to LPG	IBRD	1,899,800	700
	Technical assistance demonstration plant (rigid foam)	UNDP	70,000	
	Zhejiang conversion to butane (extruded foam)	IBRD	1,042,400	400
	Fifty % reduced CFC foam (rigid foam)	IBRD	2,357,600	1,000
	Quality control test CFC-11 substitute technology	UNDP	65,000	
	Technical assistance demonstration plant (flexible foam)	UNDP	70,000	
	Zhejiang halon extinguisher conversion	IBRD	796,700	300
	Beijing ABC powder production	IBRD	403,300	500
	Demo. prog. for technician service in domestic/comm. refrigeration	UNDP	75,000	
	Quality control for CFC-12 substitution	UNDP	70,000	
	Dalian precision parts cleaning line	UNDP	495,000	
	Dandong electronic tuner cleaning	UNDP	495,000	
	Nanjing compressor project	IBRD	300,000	
	Subtotal:		10,640,000	18,900
COSTA RICA	Implementation of country programme	UNDP	393,400	100
	Subtotal:		393,400	
ECUADOR	Conversion of 6 aerosol plants from CFC-12 to HC propellants*	IBRD	300,000	100
	Reduction of CFC-11 at 3 refrigerator manufacturing plants	IBRD	100,000	
	Subtotal:		400,000	
EGYPT	MCMC compressor HFC-134a	IBRD	2,800,000	100
	Technopol phasing-out CFC-11	UNDP	645,000	200
	Misr Foam	UNDP	497,000	100
	Foam production at Taki Vita Co.	UNDP	294,000	
	Koldair refrigeration	UNDP	272,000	
Demonstration project	BRD	370,000		
	Subtotal:		4,878,000	
GHANA	Maintenance of refrigerators	UNDP	328,000	
	Flexible foam	UNDP	85,000	
	Subtotal:		413,000	
JORDAN	Deodorization of liquified petroleum gas (LPG)	IBRD	700,000	
	Flexible foam projects	IBRD	30,000	
	Foam insulation use in the refrigerator manufacturing industry	IBRD	120,000	
	Demo. project in new recycling and maintenance for halon system	IBRD	25,000	
	Demo. project in recycling and recovery of CFC-12 in refrigeration	IBRD	25,000	
	Investment projects in refrigeration sector	BRD	500,000	
	Subtotal:		1,400,000	

Country	Project	Implem. agency	Funds allocated (US\$)	Est. ODS to be phased-out
MALAYSIA	Halon recycling	IBRD	720,000	300
	Conservation and recycling of CFC-12 in MAC	IBRD	910,000	370
	Subtotal		1,630,000	670
MEXICO	Group of projects:	IBRD	4,000,000	
	- Polystyrene foam conversion from CFC to HCFC	IBRD		250
	- Low-CFC foam insulation	IBRD		188
	- Centralized CFC recycling and material handling system	IBRD		2,063
	- Chiller purge device and conversion	IBRD		145
	- Expanded use of recycling in MACs	IBRD		913
	- Training and plant conversion in electronic industry	IBRD		1,500
	Ozone protection pilot recycling and training project	IBRD	180,000	
Subtotal		4,180,000	4,959	
PHILIPPINES	Controlled substances engineering project	IBRD	400,000	
Subtotal		400,000		
THAILAND	MAC CFC recycling	IBRD	900,000	250
	Thai Airways non-ODS metal cleaning	IBRD	100,000	10
	Controlled substances engineering project	IBRD	470,000	
	Subtotal		1,470,000	260
TUNISIA	Technical seminars and consultancies in the aerosol sector	IBRD	350,000	230
	Foam sector			200
	- Technical seminars, consultancies, demo. in the foam sector	IBRD	100,000	
	- Low CFC and non-CFC rigid foam	IBRD	300,000	
	Maintenance of domestic and commercial refrigerators	IBRD	400,000	100
	Domestic refrigeration HFC-134a	IBRD	360,000	n/a
Subtotal		1,510,000	530	
TURKEY	Recovery and recycling CFC-12 at a refriger. production line	IBRD	65,000	4
	Recovery/recycling CFC-12 through a network of refrigeration shops	IBRD	400,000	
	Investment projects in key ODS-using sectors	IBRD	500,000	n/a
	Domestic refrigeration HFC-134a, Arcelik	IBRD	4,900,000	750
	Subtotal		5,865,000	761
VENEZUELA	Conversion of a polystyrene facility to use HC as blowing agent	IBRD	1,300,000	259
	Refrigeration and air conditioning	IBRD	1,100,000	14
	Subtotal		2,400,000	273
<b>TOTAL</b>			<b>39,740,400</b>	<b>31,673</b>

\* For project preparation

Abbreviations:

- CFC - chlorofluorocarbons
- HC - hydrocarbons
- HCFC - hydrochlorofluorocarbons
- HFC - hydrofluorocarbons
- LPG - liquified petroleum gas
- MAC - mobile air conditioners
- ODS - ozone depleting substances
- n/a - not available



**ANNEX III**

**STATUS OF THE FUND**  
 (in US dollars)

**A. INCOME TO DATE**

1. Contributions Received Against 1991 Pledge	38,874,111
2. Contributions Received Against 1992 Pledge	33,982,294
3. Interest Earned (1991 – 1992)	1,063,361
4. Counterpart contribution, Canada (1991 – 1992)	1,225,568
5. Bilateral and Regional Co-operation Programmes 1/	2,206,772
<b>Total Income</b>	<b>77,352,106</b>

**B. DISBURSEMENTS TO DATE**

1. UNDP	9,857,995
2. UNEP	3,597,920
3. World Bank	43,545,000
4. Fund Secretariat (1991 – 1992)	4,356,326
5. Programme Support Costs	176,848
6. Bilateral and Regional Co-operation Programmes	2,206,772
<b>Total Disbursements</b>	<b>63,740,861</b>

**C. Surplus /or (Deficit)**

13,611,245

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1/ Bilateral contributions (US \$) approved at the Seventh Meeting of the Executive Committee as follows:

Australia (for 1992)	39,394
Germany (for 1992)	18,378
USA (for 1991)	480,000
" (for 1992)	1,669,000

**STATUS OF CONTRIBUTIONS TO THE MULTILATERAL FUND**  
As at 30 September 1992 (in US dollars)

1991

Country	Pledge	Paid	Bilateral	Outstanding
Australia	985,407	985,407		0
Austria	464,459	464,459		0
Bahrain	12,553	0		12,553
Belarus	207,124	0		207,124
Belgium	734,348	734,348		0
Bulgaria				0
Canada	1,939,432	1,939,432		0
Czechoslovakia				0
Denmark	433,077	433,077		0
Finland	320,100	320,100		0
France	3,922,799	0		3,922,799
Germany	5,874,784	5,874,784		0
Greece	251,059	2,118		248,941
Hungary	131,806	0		131,806
Iceland	18,829	18,829		0
Ireland	112,977	112,977		0
Italy	2,504,315	2,504,315		0
Japan	7,142,633	7,142,633		0
Liechtenstein	6,276	6,276		0
Luxembourg	37,659	37,659		0
Malta	6,276	6,276		0
Netherlands	1,035,619	1,035,619		0
New Zealand	150,635	150,635		0
Norway	345,206	345,206		0
Poland				0
Portugal	112,977	0		112,977
Russian Federation	6,270,202	0		6,270,202
Singapore	69,041	0		69,041
South Africa	282,442	282,442		0
Spain	1,223,913	1,223,913		0
Sweden	759,454	759,454		0
Switzerland	677,860	677,860		0
Ukraine	784,560	0		784,560
United Arab Emirates	119,253	0		119,253
United Kingdom	3,050,369	962,959		2,087,410
United States of America	13,333,333	12,853,333	480,000	0
<b>TOTAL</b>	<b>53,320,777</b>	<b>38,874,111</b>	<b>480,000</b>	<b>13,966,666</b>

• Extra-ordinary contributions received from Canada to cover Secretariat costs (differential)

703,334

**STATUS OF CONTRIBUTIONS TO THE MULTILATERAL FUND**  
As at 30 September 1992 (in US dollars)

1992

Country	Pledge	Paid	Bilateral	Outstanding
Australia	1,326,980	1,304,680	39,394	117,094
Austria	625,456	0		625,456
Bahrain	16,904	0		16,904
Belarus	278,919	0		278,919
Belgium	988,896	0		988,896
Bulgaria	126,782	0		126,782
Canada	2,611,699	2,089,359		522,340
Czechoslovakia	557,839	139,445		418,394
Denmark	583,195	0		583,195
Finland	431,057	431,057		
France	5,282,564	0		5,282,564
Germany	7,911,167	0	18,378	7,892,789
Greece	338,084	0		338,084
Hungary	177,494	0		177,494
Iceland	25,356	0		25,356
Ireland	152,138	152,138		
Italy	3,372,389	2,290,315		1,082,074
Japan	9,618,492	9,618,492		
Liechtenstein	8,452	16,889		18,437
Luxembourg	50,713	0		50,713
Malta	8,452	8,431		21
Netherlands	1,394,597	1,035,619		358,978
New Zealand	202,850	202,850		
Norway	464,866	464,866		
Poland	473,318	473,318		
Portugal	152,138	0		152,138
Russian Federation	8,443,650	0		8,443,650
Singapore	92,973	0		92,973
South Africa	380,345	380,345		
Spain	1,648,160	1,648,160		
Sweden	1,022,704	1,022,689		15
Switzerland	912,827	912,812		15
Ukraine	1,056,513	0		1,056,513
United Arab Emirates	160,590	0		160,590
United Kingdom	4,107,721	0		4,107,721
United States of America	18,333,333	11,790,829	1,669,000	4,873,504
<b>TOTAL</b>	<b>73,339,613</b>	<b>33,982,294</b>	<b>1,726,772</b>	<b>37,630,547</b>

• Extra-ordinary contributions received from Canada to cover Secretariat costs (differential)

522,100

ANNEX IV

PROPOSED BUDGET FOR FUND OPERATIONS, 1993  
 (Million of United States dollars)

Description	Amount
I ESTIMATED INCOME	
1. FUND Income (Pledged)*	113.33
2. Interest earnings	0.50
3. Counterpart Contribution (Canada)	0.65
 TOTAL	 114.48
II. ESTIMATED DISBURSEMENTS	
1. Implementing Agencies	
UNDP	24.00
UNEP	3.60
World Bank	80.00
UNIDO	4.55
2. Fund Secretariat	2.20
3. Programme Support Costs	0.13
 TOTAL**	 114.48

\* Incorporates increase in the Fund to US \$240 million as per Terms of Reference of the Fund (UNEP/OzL.Pro/2/3 Annex IV, Appendix IV, Section A, paragraph 1) (assumes full increment of US \$40 million consequent to India's accession will be absorbed in 1993).

\*\* If additional funds were made available through payment of outstanding 1991 and 1992 contributions (presently US \$53.27 million), it is estimated that projects presently being developed could absorb an additional sum of approximately US \$25 million in 1993.

**ANNEX V****REVISED BUDGET FOR THE SECRETARIAT FOR 1993**

Number	DESCRIPTION	W/M	US \$
10	<b>PROJECT PERSONNEL COMPONENT</b>		
1100	<b>Project Personnel (Title &amp; Grade)</b>		
1101	Chief Officer D.2	12	108,000
1102	Deputy Chief Officer P.5	12	84,000
1103	Deputy Chief Officer P.5	12	84,000
1104	Programme Officer P.3	12	62,000
1105	Programme Officer P.3	12	62,000
1106	Programme Officer P.3	12	62,000
1107	Programme Officer P.3	12	62,000
1108	Information Officer P.3	12	62,000
1109	Fund and Administration Officer P.4	12	70,000
1199	Total		<b>656,000</b>
1200	<b>Consultants</b>		
1201	Evaluations of programmes, projects, etc		200,000
1299	Total		<b>200,000</b>
1300	<b>Administrative Support (Title &amp; Grade)</b>		
1301	Administrative Assistant G.9	12	42,000
1302	Documentalist G.8	12	32,000
1303	Secretary to Chief Officer G.8	12	38,000
1304	Senior Secretary G.6	12	32,000
1305	Senior Secretary G.6	12	32,000
1306	Senior Secretary G.6	12	32,000
1307	Senior Secretary G.6	12	32,000
1308	Senior Secretary G.6	12	32,000
1309	Registry Clerk G.4	12	24,000
1329	CSC (conference servicing costs) for 9th Executive Committee Meeting		90,000
1330	CSC - 10th Executive Committee Meeting		90,000
1331	CSC - 11th Executive Committee Meeting		90,000
1332	CSC - 2 Sub-Committee Meetings		30,000
1399	Total		<b>596,000</b>
1600	<b>Travel on business</b>		
1601	Travel and subsistence (Staff)		120,000
19	COMPONENT TOTAL		<b>1,572,000</b>

Number	DESCRIPTION	W/M	US \$
20	<b>CONTRACTS COMPONENT</b>		
2100	Sub-contracts		
2101	External printing: Information		30,000
29	COMPONENT TOTAL		<b>30,000</b>
30	<b>MEETINGS COMPONENT</b>		
3300	Travel and dsa for participants at meetings		
3305	Chairman/Vice-Chairman's travel and dsa		30,000
3310	9th Executive Committee (7 x 3 x \$ 4,000)		84,000
3311	10th Executive Committee (7 x 3 x \$ 4,000)		84,000
3312	11th Executive Committee (7 x 3 x \$ 3,000)		84,000
3313	2 Sub-Committee meetings (2 x 3 x \$ 3,000)		18,000
39	COMPONENT TOTAL		<b>300,000</b>
40	<b>EQUIPMENT AND PREMISES COMPONENT</b>		
4100	Expendable equipment (items under \$500)		
4101	Office stationery supplies		12,000
4102	Software and computer items		6,000
4199	Total		<b>18,000</b>
4200	Non-expendable equipment		
4201	Office furniture		0
4202	Personal computers		0
4203	Portable computers (1)		5,000
4204	Others		5,000
4299	Total		<b>10,000</b>
4301	Rental of offices		540,000
49	COMPONENT TOTAL		<b>568,000</b>

Number	DESCRIPTION	W/M	US \$
50	<b>MISCELLANEOUS COMPONENT</b>		
5100	Operation and maintenance of equipment		
5101	Maintenance of Equipment		7,000
5102	Maintenance of office		5,000
5103	Maintenance of computer equipment		10,000
5104	Rental of photocopier(s)		18,000
5105	Rental of telecommunication equipment		21,000
5199	Total		<b>61,000</b>
5200	Reporting costs (including translation costs)		
5201	Executive Committee Meetings		40,000
5202	Others (including meetings of Parties)		20,000
5299	Total		<b>60,000</b>
5300	Sundry costs		
5301	Communications		30,000
5302	Freight: (documents)		20,000
5303	Miscellaneous charges		10,000
5399	Total		<b>60,000</b>
5400	Hospitality		
5401	Hospitality for Meetings		10,000
59	COMPONENT TOTAL		<b>191,000</b>
99	GRAND TOTAL		2,661,000
	Programme Support Costs		123,760
	Counterpart Contribution (Canada)		650,000
	TOTAL COST TO FUND		<b>2,134,760</b>